# Transform compliance, transparency and performance

WITH SGS SUPPLIER ASSURANCE SERVICES



# SGS Supplier Assurance Services

### Deliver and comply with all requirements

With supply networks becoming ever more complex, companies need to find new ways to ensure their value chains deliver goods and services that conform to market, industry and regulatory requirements, and their own internal standards.

SGS has developed Supplier Assurance Services to help businesses successfully navigate these requirements. We offer a range of seamless and simple compliance auditing processes, covering brand-related issues, suppliers and product stewardship schemes.

Supported by years of experience and technical expertise, these solutions allow you to address various risks, including, but not limited to, quality, health and safety and sustainability.

As the world's leading testing, inspection and certification company, our 98,000 employees operate a network of 2,650 offices and laboratories. We are recognized as the global benchmark for quality and integrity.

Annually, we perform thousands of customized audits, working with small and medium enterprises, as well as large, international corporations. As a trusted partner, with experience across all major industries, we are committed to transforming the compliance, transparency and performance of all the services and supply chains we audit.

#### **BUSINESS VALUES**





## Supplier assurance services

#### The trusted partner

SGS is the trusted partner for businesses around the world, delivering high-quality audits relevant to the organization and/or industry sector. SGS Supplier Assurance Services are a comprehensive and effective tool for organizations needing to manage their brands, suppliers and product stewardship scheme accreditations. They deliver a tailored, focused and cost-effective auditing solution that encompasses all client requirements and can be implemented across the complete value chain. Audit results are presented in a high-quality report that includes corrective action plans to allow continuous improvements. Our services help to raise benchmark standards while driving compliance among associates.

#### **BRAND AUDITS**

Ensure your brand is correctly represented along the full value chain.

#### **SUPPLIER AUDITS**

Ensure best practices are maintained and business disruptions minimized.

#### INDUSTRY/PRODUCT STEWARDSHIP AUDITS

Ensure member companies adhere to your stewardship program's benchmark standards.

#### **Methodology services**

Customization provides a more effective and efficient auditing solution for ensuring supply chain compliance. We work directly with stakeholders to make sure the program meets your needs, piloting the audit program before implementation. Following the successful completion of the audit, we continue to work with you, enhancing your program and delivering continuous improvements to benefit you and your stakeholders.

Program development	Implementation	Audit	Continuous improvement
<ul> <li>Kick-off workshop</li> <li>Develop audit checklist based on best practices</li> <li>Pilot audit</li> <li>Finalize audit checklist</li> <li>Update the audit management and delivery platform for launch</li> </ul>	<ul> <li>Communication planning and implementation</li> <li>Auditor selection</li> <li>Auditor training development</li> <li>Auditor training delivery</li> </ul>	<ul> <li>Audit program scheduling</li> <li>Audit delivery</li> <li>Audit report</li> <li>Corrective action planning</li> <li>Data and analytics creation</li> </ul>	<ul> <li>Analytics and trend reporting</li> <li>Operational and performance reporting</li> <li>Supplier satisfaction survey</li> <li>Feedback loop for program improvement</li> </ul>



### Brand-related audits

With your company's brand at the core of these programs, it is important for the audit to accurately match the focus areas relating to your individual requirements. The main focus of a customized brand-related audit program is the promotion of continuous improvements and standardization across the network, while ensuring service standards are maintained and brand reputation protected.

#### What we do

Many companies already have defined specifications across their value chain, such as codes of conduct and/or brand standards. We support organizations that have not developed these into formal standards or auditing programs, helping you define specifications with the aim of deployment across the full value chain or particular areas, as required.

We also support you to identify specific risk areas and determine how these are to be controlled. The resulting tailored audit program ensures full visibility and control over brand-related risks. Where required, this can be a fully managed solution, whereby we liaise with the value chain, arrange audits and provide analytical feedback to the relevant stakeholders.

#### What are the benefits?

- · Customized programs with defined outcomes
- Establish consistency across the value chain
- · Identify and manage third-party risk

- Provide assurance to all stakeholders
- · Establish brand reputation control measures
- Access SGS's global network and technical expertise

#### **Typical industry sectors**

Retail and consumer brand

4





General franchised operations



Automotive



# Supplier audits

By monitoring suppliers' adherence to your requirements, we help you ensure that your suppliers meet your quality, safety, security, environmental and social standards, preserving brand equity and company values throughout the supply chain.

#### What we do

We provide large and small organizations with a valuable tool for regularly auditing their suppliers' compliance or performance, leaving them free to manage their operation. Supplier Assurance Services help reduce any additional business disruptions. The program consists of second-party audits, supplier assurance services or third-party certification based on your needs. As the global leader in audits and assessments, we are the preferred partner for executing your checklist. We do this while ensuring alignment with our best practices, which were developed over the last 20 years while delivering audits to 1,000+ clients. Our auditors are thoroughly trained, understand your specific criteria and needs, and will efficiently deliver your audit, saving you time and money.

#### What are the benefits?

- Cost efficiencies
- · Maintain compliance with reduced resources
- · Internal teams do not need to travel

- Increase scale and efficiency by using SGS's global footprint
- · SGS auditors are trained to deliver your footprint
- · Reduce additional risks during economic downturns
- Advanced data analytics

#### **Typical industry sectors**

Construction



Public sector



Manufacturing

Chemical





Pharmaceuticals







5



### Industry/product stewardship audits

National and international trade bodies, associations and regulatory establishments around the world have formal programs to ensure associates conform to membership principles, industry regulations and/or legislative requirements, while adding value to their members. These programs help foster industry best practices and create greater stakeholder recognition for organizations that adhere to best practices.

They can sit across multiple disciplines, such as codes of conduct, ethical principles, product stewardship, service standards, health and safety compliance and many more.

#### What we do

We provide technical and auditing support to you, ensuring that benchmark standards are established and followed by associates.

These programs are offered as optional added-value services or mandatory requirements. We support each phase of the project, offering technical support to help define a program and its content, and assist in adapting existing specifications into on-site auditing programs. We also offer a fully managed service in which we liaise directly with associates to arrange audits, before delivering relevant, high-quality reports to you.

#### What are the benefits?

- · Establish and manage member codes of conduct
- · Regulatory and legislative compliance
- Value-added services for members, offering heightened registration levels
- Demonstrate commitment to addressing known industry Independent, third-party assured audit programs issues
- Give members the ability to differentiate their offering in a competitive marketplace
- Assurance against service, quality and compliance standards across the membership base

  - Access SGS's global network and technical expertise
  - Advanced data analytics

#### **Typical industry sectors**

Chemical





Trade assoc./ industry consortia (multi-industry)



Public sector

SGS SUPPLIER ASSURANCE SERVICES

### Analytics and centralizing audit data

**Our Customized Assessment** Tracking System (CATS) provides advanced data analytics and audit data management. This centralization tool unites all relevant audit data. Through our user-friendly dashboard, you can access real-time reports, audit scheduling capabilities, auditor output metrics and complex KPIs specific to your customized audit.

#### **Data management and** reporting samples

Accurate and transparent reporting is critical to ensuring compliance. Our platform offers various interactive reporting dashboards that can be customized to meet your organization's needs. Each dashboard provides real-time performance monitoring and complete project traceability and visibility, thereby helping you mitigate risks in your organization.

#### **Capabilities**

Complete audit process online

Real-time performance monitoring to set KPIs

Standard reports –

· Easy to view and share

top-line summary of key findings

• Easy to configure (drag and drop)

to meet your data presentation

and analysis requirements

#### 200 Unlimited user

accounts



traceability

### Interactive reporting



Project status visibility and transparency

### Data analytics

- · Direct, real-time access to audit data
- No limit on users
- Project status visibility audits scheduled, completed, in progress
- Trend analysis

For more information, visit our Supply Chain Assurance web page on SGS.com or email Certification@SGS.com.



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WHEN YOU NEED TO BE SURE