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SGS QUALIFOR

FOREST MANAGEMENT STANDARD

2019

This checklist presents the SGS Qualifor template for forest certification against the FSC Principles and Criteria. The Checklist reflects the requirements set out in FSC-STD-60-004 V2-0 EN (International Generic Indicators) as adapted for South Africa (FSC-STD-ZAF-01-2017 V1-0) effective from 1 March 2019.

This standard forms the basis for:

- Scoping assessment
- Certification assessment
- Surveillance assessment
- Information to stakeholders on the assessment criteria used by SGS Qualifor

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CHANGES SINCE THE PREVIOUS VERSION OF THE STANDARD

Section	Change	Date
	Changes throughout	01/03/2019

LAYOUT OF THE STANDARD:

The standard follows the FSC Principles and Criteria of Forest Stewardship (January, 2000). The Standard is divided into 10 sections, each corresponding to one of the FSC principles with the criteria listed underneath each principle. Refer below for further clarification.

Each page of the standard is divided into 3 columns. The standard also serves as the checklist that is used during an assessment and for every criterion the following is provided:

The Qualifier Requirement: Indicator	This outlines the norm or indicators that Qualifier requires for compliance with the specific FSC criterion. A potential source of information or evidence that allows an auditor to evaluate compliance with an indicator. Some indicators make a distinction between the requirements for “normal” forests and SLIMF operations (Small and Low Intensity Managed Forests).
Verifiers	Verifiers are examples of what the SGS assessor will look for to ascertain if the specific norm or indicator has been met. This list is not exhaustive and the assessor may use other means of verifying the relevant indicator.
Guidance	Guidance is written in <i>italics</i> and assists the assessor in understanding the requirement of the specific indicator.

PRINCIPLE 1: COMMUNITY RELATIONS AND WORKER’S RIGHTS: The FSC Criterion

Forest management operations shall maintain or enhance the long-term social and economic well being of forest workers and local communities.

Criterion 4.1: The communities within, or adjacent to, the forest management area should be given opportunities for employment, training, and other services

<p>Indicator 4.1.6</p> <p>Policies and procedures and the implementation thereof make qualifications, skills and experience the basis for recruitment, placement, training and advancement of staff at all levels</p> <p><u>SLIMF:</u> Employees are not discriminated in hiring, advancement, dismissal remuneration and employment related to social security</p>	<p>Verifiers & Guidance: <i>No evidence of discrimination on the basis of: race, colour, culture, sex, age, religion, political opinion, national extraction or social origin</i></p> <p>Employment policies and procedures. Interviews with Forest Managers, workers and Labor Union representatives.</p> <p><u>SLIMF:</u> Interviews with workers and contractors</p>
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The year of the evaluation (points to the year in the indicator text)

The SGS Qualifier Indicator (points to the indicator text)

The SGS Qualifier observation i.t.o. the indicator (points to the indicator text)

The SGS Qualifier verifier (points to the verifiers and guidance text)

An SGS Qualifier Guideline (Italics) (points to the italicized text in the verifiers and guidance section)

THE STANDARD : FSC-STD-TZA-01-2018 V-01
PRINCIPLE 1. COMPLIANCE WITH LAWS:

The Organization shall comply with all applicable laws, regulations and nationally-ratified international treaties, conventions and agreements.

Criterion 1.1 The Organization shall be a legally defined entity with clear, documented and unchallenged legal registration, with written authorization from the legally competent authority for specific activities. (new)

Indicator 1.1.1

The Organization has legal and undisputed registration valid for all activities within the scope of the certificate.

Verifiers & Guidance:

Large organization: Memorandum of Understanding, TIN certificate, Board Resolution Minutes, Certificate of registration
SLIMF: Resolution Minutes, Constitution, Certificate of registration

Indicator 1.1.2

Legal registration is granted by a legally competent authority according to legally prescribed processes.

Verifiers & Guidance:

Large Organization: Certificate of Incorporation
SLIMF: Certificate of recognition

Criterion 1.2 The Organization shall demonstrate that the legal status of the Management Unit, including tenure and use rights, and its boundaries, are clearly defined. (C2.1 V4).

Indicator 1.2.1

Legal tenure to manage and use resources within the scope of the certificate is documented.

Verifiers & Guidance:

Large Organization: Title deed
SLIMF: Customary Certificate Right of Occupancy (CCRO)/Land Title, Village Land Certificate

Indicator 1.2.2

Legal tenure is granted by a legally competent authority according to legally prescribed processes.

Verifiers & Guidance:

Large Organization: Village, District and Regional approved minutes; Tanzania Land Use commission's seal, Land rent receipts
SLIMF: Village General Assembly Minutes, District Council minutes

Indicator 1.2.3

The boundaries of all Management Units within the scope of the certificate are clearly marked on the terrain and documented and clearly shown on maps.

Verifiers & Guidance:

Approved Boundary Maps; Landmark beacons; Management plans

Criterion 1.3 The Organization shall have legal rights to operate in the Management Unit, which fit the legal status of The Organization and of the Management Unit, and shall comply with the associated legal obligations in applicable national and local laws and regulations and administrative requirements. The legal rights shall provide for harvest of products and/or supply of ecosystem services from within the Management Unit. The Organization shall pay the legally prescribed charges associated with such rights and obligations. (C1.1, 1.2, 1.3 V4)

Indicator 1.3.1

All activities undertaken in the Management Unit are carried out in compliance with:

- 1) Applicable laws and regulations and administrative requirements as provided in Annex A,
- 2) Legal and customary rights; and
- 3) Obligatory codes of practice.

Verifiers & Guidance:

Large Organization: Legal register; Codes of conducts/practices, Interviews;
SLIMF: Legal register; Internal Compliance Reports

Indicator 1.3.2		Verifiers & Guidance:
Payment is made in a timely manner of all applicable legally prescribed charges connected with forest management.		Legal receipts; financial reports and bank statements
Indicator 1.3.3		Verifiers & Guidance:
Activities covered by the management plan are designed to comply with all applicable laws.		Approved Management plan
Criterion 1.4	The Organization shall develop and implement measures, and/or shall engage with regulatory agencies, to systematically protect the Management Unit from unauthorized or illegal resource use, settlement and other illegal activities. (C1.5 P&C V4)	
Indicator 1.4.1		Verifiers & Guidance:
Measures are implemented to provide protection from unauthorized or illegal harvesting, hunting, fishing, trapping, collecting, settlement and other unauthorized activities.		Standard operating Procedures (SOP) and Organization Policy
Indicator 1.4.2		Verifiers & Guidance:
Where protection is the legal responsibility of regulatory bodies, a system is implemented to work with these regulatory bodies to identify, report, control and discourage unauthorized or illegal activities.		Interviews; Correspondences; Meeting minutes; Monitoring reports
Indicator 1.4.3		Verifiers & Guidance:
If illegal or unauthorized activities are detected, lawful measures are implemented to address them.		Reports; Operating procedures; Interviews
Criterion 1.5	The Organization shall comply with the applicable national laws, local laws, ratified international conventions and obligatory codes of practice, relating to the transportation and trade of forest products within and from the Management Unit, and/or up to the point of first sale. (C1.3 P&C V4)	
Indicator 1.5.1		Verifiers & Guidance:
Compliance with applicable national laws, local laws, ratified international conventions and obligatory codes of practice relating to the transportation and trade of forest products up to the point of first sale is demonstrated		Transit Permits; Harvesting Licences; Cess/loyalties receipts; Registered hammer mark, Phytosanitary certificates
Indicator 1.5.2		Verifiers & Guidance:
Compliance with CITES provisions is demonstrated, including through possession of certificates for harvest and trade in any CITES species.		CITES permits and certificates; IUCN Red list
Criterion 1.6	The Organization shall identify, prevent and resolve disputes over issues of statutory or customary law, which can be settled out of court in a timely manner, through engagement with affected stakeholders. (C2.3 P&C V4)	
Indicator 1.6.1		Verifiers & Guidance:

A publicly available dispute resolution process is in place; developed through culturally appropriate engagement with affected stakeholders.	Dispute resolution procedures, Interviews, stakeholders meeting minutes
Indicator 1.6.2	Verifiers & Guidance:
Disputes related to issues of applicable laws or customary law that can be settled out of court are responded to in a timely manner, and are either resolved or are in the dispute resolution process.	Complain Register, Interviews with affected stakeholders
Indicator 1.6.3	Verifiers & Guidance:
Up to date records of disputes related to issues of applicable laws or customary law, are held including: 1) Steps taken to resolve disputes; 2) Outcomes of all dispute resolution processes; and 3) Unresolved disputes, the reasons they are not resolved, and how they will be resolved.	Updated complaints register, dispute resolution meeting minutes
Indicator 1.6.4	Verifiers & Guidance:
Operations cease in areas where disputes exist: 1) Of substantial magnitude; or 2) Of substantial duration; or 3) Involving a significant number of interests. Recommend this indicator be reformulated - where disputes cannot be resolved through the mechanism provided for in 1.6.1. and the best efforts of the organisation to do so in 1.6.2., the normal procedure would be to refer the case for external conciliation, mediation and arbitration If there is a call for operations to cease, either party may request the court to issue an order to cease operations. Seek legal assistance in drafting this. See 4.6.4 - same recommendation is given.	Conciliation and mediation Minutes, Risk Assessment report basing on social, environmental and economic framework.
Criterion 1.7	The Organization shall publicize a commitment not to offer or receive bribes in money or any other form of corruption, and shall comply with anti-corruption legislation where this exists. In the absence of anti-corruption legislation, The Organization shall implement other anti-corruption measures proportionate to the scale and intensity of management activities and the risk of corruption. (new)
Indicator 1.7.1	Verifiers & Guidance:
The Organisation has a written policy commitment not to receive bribes of any description and not to tolerate any form of corruption.	Company policy; Code of conducts. Interviews, reports
Indicator 1.7.2	Verifiers & Guidance:
The policy meets or exceeds related legislation. The indicator appears pointless since the Organization is obliged to comply with the national legislation. Recommend this indicator be dropped and new indicator requiring the policy/commitment is communicated throughout the organisation is added (see recommended 1.7.3 above)	National Corruption Policy, Company policy; Code of conducts
Indicator 1.7.3	Verifiers & Guidance:

The policy is publicly available at no cost. See 1.7.1 above.	Notice boards; websites, stakeholders meetings, interviews
Indicator 1.7.4	Verifiers & Guidance:
Bribery, coercion and other acts of corruption is addressed in the anti-corruption policy commitment which focuses on information, prevention and corrective measures	Interviews; Policy commitments
Indicator 1.7.5	Verifiers & Guidance:
Corrective measures are implemented if corruption does occur.	Interviews , hard punitive records, case judgement files
Criterion 1.8	The Organization shall demonstrate a long-term commitment to adhere to the FSC Principles and Criteria in the Management Unit, and to related FSC Policies and Standards. A statement of this commitment shall be contained in a publicly available document made freely available. (C1.6 P&C V4)
Indicator 1.8.1	Verifiers & Guidance:
A written policy and/or control documents endorsed by an individual with authority to implement the policy, includes a long-term commitment to forest management practices consistent with FSC Principles and Criteria and related Policies and Standards.	Large Organization: Organization policy, SLIMF: Control documents
Indicator 1.8.2	Verifiers & Guidance:
The policy and/or control documents are publicly available at no cost.	website; notice boards, interviews
PRINCIPLE 2. WORKERS' RIGHTS AND EMPLOYMENT CONDITIONS:	
<i>The Organization shall maintain or enhance the social and economic wellbeing of workers.</i>	
Criterion 2.1	The Organization shall uphold the principles and rights at work as defined in the ILO Declaration on Fundamental Principles and Rights at Work (1998) based on the eight ILO Core Labour Conventions. (C4.3 P&C V4)
Indicator 2.1.1	Verifiers & Guidance:
Employment practices and conditions for workers demonstrate conformity with or uphold the principles and rights of work addressed in the eight ILO Core Labour Conventions as defined in the ILO Declaration on Fundamental Principles and Rights at Work (1998).	Interviews with workers, Observations, Code of conducts, National labour policy, company policy, Employment contracts/records
Indicator 2.1.2	Verifiers & Guidance:
Workers are not prevented from establishing or joining labour organisations of their own choosing, subject only to the rules of the labour organisation concerned.	Existing Trade/Labour unions; Interviews with workers, Organization policy, Control Document
Indicator 2.1.3	Verifiers & Guidance:
Agreements are implemented resulting from collective bargaining with formal and informal workers organizations.	Interviews with workers, CBAs

Criterion 2.2	The Organization shall promote gender equality in employment practices, training opportunities, awarding of contracts, processes of engagement and management activities. (new)
Indicator 2.2.1	Verifiers & Guidance:
Policies and guidelines are implemented that promote gender equality and prevent gender discrimination in employment practices, training opportunities, awarding of contracts, processes of engagement and management activities.	Interviews with workers and contractors; company manuals; Field observations
Indicator 2.2.2	Verifiers & Guidance:
Job opportunities are open to both women and men under the same conditions, and women are encouraged to participate actively in all levels of employment.	Job grades; Recruitment policy; employment advertising, employment records
Indicator 2.2.3	Verifiers & Guidance:
Work typically carried out by women (including nurseries, silviculture, Non Timber Forest Product harvesting, weighing, packing, etc.) is included in training and health & safety programs.	Interviews with workers; Training manual and programme and records
Indicator 2.2.4	Verifiers & Guidance:
Women and men are paid the same wage when they do the same work	Workers contract, Payrolls; Salary slips, Interviews, employment contracts
Indicator 2.2.5	Verifiers & Guidance:
Women and men are paid directly and using mutually agreed methods (e.g. direct bank transfer, direct payments for school fees, etc.) to ensure they safely receive and retain their wages.	Interviews with women and men workers; payslips, receipts
Indicator 2.2.6	Verifiers & Guidance:
Maternity leave is no less than a twelve-week period immediately after childbirth.	Maternity leave approvals; Company human resource manuals, interviews
Indicator 2.2.7	Verifiers & Guidance:
Paternity leave is no less than three days.	Company human resource manuals; Paternity leave approvals, interviews
Indicator 2.2.8	Verifiers & Guidance:
Meetings, management committees and decision-making forums are organized to include women and men, and to facilitate the active participation of both.	Meeting minutes and attendance list aggregated by sex, interviews
Indicator 2.2.9	Verifiers & Guidance:
Confidential and effective mechanisms exist for reporting and eliminating cases of sexual harassment and discrimination based on the grounds of sex, gender, marital status, parenthood or any other such grounds.	Interviews; hotline numbers; emails, company HR policy/manual, sexual harassment policy, sexual harassment reporting guidelines

Indicator 2.2.10	Verifiers & Guidance:
Workers are familiar with the mechanism of reporting cases of sexual harassment and gender or other type of discrimination.	Interviews with workers , Sensitization Records
Indicator 2.2.11	Verifiers & Guidance:
Measures in all cases of sexual harassment and gender or other type of discrimination existing in the Tanzanian laws are undertaken in timely and effective manner.	Disciplinary Committee Minutes, Interviews with workers.
Criterion 2.3	The Organization shall implement health and safety practices to protect workers from occupational safety and health hazards. These practices shall, proportionate to scale, intensity and risk of management activities, meet or exceed the recommendations of the ILO Code of Practice on Safety and Health in Forestry Work. (C4.2 P&C V4)
Indicator 2.3.1	Verifiers & Guidance:
Health and safety practices are developed and implemented that meet or exceed the ILO Code of Practice on Safety and Health in Forestry Work.	H&S records; H&S policy, H&S Regulatory Certificate, Operational Maintenance Plan (OMP), ILO Code of Practice, Housing standards based on ILO Code, First Aid, Emergency Rescue, Occupational health and medical care policy or standards based on ILO Code
Indicator 2.3.2	Verifiers & Guidance:
Workers have personal protective equipment appropriate to their assigned tasks.	Field Observation; Interviews; PPEs; H&S Risk assessments
Indicator 2.3.3	Verifiers & Guidance:
Use of personal protective equipment is enforced.	Field observation; Disciplinary records; Interviews; PPEs; H&S Risk assessments
Indicator 2.3.4	Verifiers & Guidance:
Records are kept on health and safety practices including accident rates and lost time to accidents.	H&S Records; Interviews
Indicator 2.3.5	Verifiers & Guidance:
The frequency and severity of accidents and incidences are consistently monitored and managed	Accident records; research reports; Interviews; H&S committees and minutes; Monitoring & Evaluation reports
Indicator 2.3.6	Verifiers & Guidance:
All incidents are analysed, trends examined and health & safety practices adjusted to avoid reoccurrence.	H&S meeting minutes; Incident review records, H&S documents
Criterion 2.4	The Organization shall pay wages that meet or exceed minimum forest industry standards or other recognized forest industry wage agreements or living wages, where these are higher than the legal minimum wages. When none of these exist, The Organization shall through engagement with workers develop mechanisms for determining living wages. (new)
Indicator 2.4.1	Verifiers & Guidance:

Wages paid by The Organization in all circumstances meet or exceed legal minimum wage rates.	Government Salary Circulars, Wage records; Pay slips; Employment contracts, Minimum wage guidelines
Indicator 2.4.2	Verifiers & Guidance:
Wages paid meet or exceed: 1) Minimum forest industry standards; or 2) Other recognized forest industry wage agreements; or 3) Living wages that are higher than legal minimum wages.	CBAs, Interviews representatives of workers union, Wage records, pay slips, Employment contracts.
Indicator 2.4.3	Verifiers & Guidance:
When no minimum wage levels exist, wages are negotiated and agreed upon as part of the contract of service	Interviews, Agreements contracts
Indicator 2.4.4	Verifiers & Guidance:
Wages, salaries and contracts are paid on time and according to the labour agreement/work contracts	Interviews with workers and Labour Unions, Work/Labour contracts.
Indicator 2.4.5	Verifiers & Guidance:
Social security funds and health funds are paid on time within the legally defined deadline/period	Job Descriptions, Training certificates; Training records
Criterion 2.5 The Organization shall demonstrate that workers have job-specific training and supervision to safely and effectively implement the Management Plan and all management activities. (C7.3 P&C V4)	
Indicator 2.5.1	Verifiers & Guidance:
training programme is in place to ensure that workers are able to safely and effectively implement the Management plan and all management activities. The programme is consistent with the job specific tasks and responsibilities of the workers and includes, but is not limited to the elements listed in Annex B	Job Descriptions, Training certificates; Training records
Indicator 2.5.2	Verifiers & Guidance:
The programme is consistent with the job specific tasks and responsibilities of the workers and includes, but is not limited to the elements listed in Annex B	Training Plans/Training needs assessment
Criterion 2.6 The Organization through engagement with workers shall have mechanisms for resolving grievances and for providing fair compensation to workers for loss or damage to property, occupational	
Indicator 2.6.1	Verifiers & Guidance:
A dispute resolution process is in place, developed through culturally appropriate engagement with workers.	Grievances records, Interviews, Resolution minutes, minutes from labour reconciliation board, suggestion box, complaints register
Indicator 2.6.2	Verifiers & Guidance:

All complaints of workers in the Organization are addressed promptly and are either resolved or are in the dispute resolution process.	Resolution minutes, Grievances records
<p>Indicator 2.6.3</p> <p>Up-to-date records of grievances related to loss or damage of property, occupational diseases and injuries are maintained including:</p> <ol style="list-style-type: none"> 1) Steps taken to resolve grievances; 2) Decisions on disputes including agreed fair compensation; and 3) Unresolved disputes, the reasons they are not resolved, and how they will be resolved. 	<p>Verifiers & Guidance:</p> <p>Accident records; Interviews; H&S committee minutes; Compensation records</p>
<p>Indicator 2.6.4</p> <p>Fair compensation is provided to workers for work-related loss or damage of property and occupational disease or injuries.</p>	<p>Verifiers & Guidance:</p> <p>Accident records; Interviews; H&S committee minutes; Compensation records</p>
<p>PRINCIPLE 3. INDIGENOUS PEOPLES' RIGHTS:</p> <p><i>The Organization shall* identify and uphold Indigenous Peoples' legal and customary rights of ownership, use and management of land, territories and resources affected by management activities. (P3 P&C V4)</i></p>	
<p>Criterion 3.1</p> <p>The Organization shall identify the Indigenous Peoples that exist within the Management Unit or those that are affected by management activities. The Organization shall then, through engagement with these Indigenous Peoples, identify their rights of tenure, their rights of access to and use of forest resources and ecosystem services, their customary rights and legal rights and obligations that apply within the Management Unit. The Organization shall also identify areas where these rights are contested. (new)</p>	
<p>Criterion 3.1 "The Organization* shall* identify the Indigenous Peoples* that exist within the Management Unit* or those that are affected by management activities. The Organization* shall* then, through engagement* with these Indigenous Peoples, identify their rights of tenure*, their rights of access to and use of forest* resources and ecosystem services*, their customary rights* and legal* rights and obligations, that apply within the Management Unit*. The Organization* shall* also identify areas where these rights are contested.</p>	
<p>Indicator 3.1.1</p> <p>Indigenous Peoples that may be affected by management activities are identified.</p>	<p>Verifiers & Guidance:</p> <p>Existing Indigenous peoples report; Management plans; Maps, Interviews , list/description of the Indigenous people affected by forest management</p>
<p>Indicator 3.1.2</p> <p>Through culturally appropriate engagement with the Indigenous Peoples identified in 3.1.1, the following issues are documented and/or mapped:</p> <ol style="list-style-type: none"> 1) Their legal and customary rights of tenure; 2) Their legal and customary access to, and use rights, of the forest resources and ecosystem services; 3) Their legal and customary rights and obligations that apply; 4) The evidence supporting these rights and obligations; 5) Areas where rights are contested between Indigenous Peoples, governments and/or others; 	<p>Verifiers & Guidance:</p> <p>Interviews and consultation with Indigenous peoples; Interviews with managers; Maps; Agreements; Documented customary laws; Management plans; FPIC reports</p>

<p>6) Summary of the means by which the legal and customary rights and contested rights, are addressed by The Organization;</p> <p>7) The aspirations and goals of Indigenous Peoples related to management activities.</p>	
<p>Criterion 3.2 The Organization shall recognize and uphold the legal and customary rights of Indigenous Peoples to maintain control over management activities within or related to the Management Unit to the extent necessary to protect their rights, resources and lands and territories. Delegation by Indigenous Peoples of control over management activities to third parties requires Free, Prior and Informed Consent. (C3.1 and 3.2 P&C V4)</p>	
<p>Indicator 3.2.1</p>	<p>Verifiers & Guidance:</p>
<p>Through culturally appropriate engagement Indigenous Peoples are informed when, where and how they can comment on and request modification to management activities to the extent necessary to protect their rights, resources, lands and territories.</p>	<p>Interviews with indigenous peoples; Interview with managers; Public announcements; Agreements; Meeting minutes.</p>
<p>Indicator 3.2.2</p>	<p>Verifiers & Guidance:</p>
<p>The legal and customary rights of Indigenous Peoples are not violated by The Organization.</p>	<p>Code of conducts/ practise, Management plans; Agreements; Interview with Indigenous peoples; FPIC minutes/agreement</p>
<p>Indicator 3.2.3</p>	<p>Verifiers & Guidance:</p>
<p>Where evidence exists that legal and customary rights of Indigenous Peoples related to management activities have been violated the situation is corrected, if necessary, through culturally appropriate engagement and/or through the dispute resolution process as required in Criteria 1.6 or 4.6.</p>	<p>Interviews with managers and Indigenous peoples; Records of dispute resolutions</p>
<p>Indicator 3.2.4</p>	<p>Verifiers & Guidance:</p>
<p>Free, prior and informed consent is granted by Indigenous Peoples prior to management activities that affect their identified rights through a process that includes:</p> <ol style="list-style-type: none"> 1) Ensuring Indigenous Peoples know their rights and obligations regarding the resource; 2) Informing the Indigenous Peoples of the value, in economic, social and environmental terms, of the resource over which they are considering delegation of control; 3) Informing the Indigenous Peoples of their right to withhold or modify consent to the proposed management activities to the extent necessary to protect their rights, resources, lands and territories; and 4) Informing the Indigenous Peoples of the current and future planned forest management activities. 	<p>FPIC reports, Interviews with Indigenous peoples, Awareness and consultation documents, meeting minutes</p>

PRINCIPLE 4. COMMUNITY RELATIONS:

The Organization shall contribute to maintaining or enhancing the social and economic wellbeing of local communities. (P4 P&C V4)

Criterion 4.1 The Organization shall identify the local communities that exist within the Management Unit and those that are affected by management activities. The Organization shall then, through engagement with these local communities, identify their rights of tenure, their rights of access to and use of forest resources and ecosystem services, their customary rights and legal rights and obligations that apply within the Management Unit. (new)

Indicator 4.1.1

Local communities that exist in the Management Unit and those that may be affected by management activities are identified.

Verifiers & Guidance:

Interviews with managers and Local communities; Management plans; Maps; list /description of the local communities affected by forest management

Indicator 4.1.2

Through culturally appropriate engagement with the local communities, identified in 4.1.1 the following are documented and/or mapped:

- 1) Their legal and customary rights of tenure;
- 2) Their legal and customary access to, and use rights, of the forest resources and ecosystem services;
- 3) Their legal and customary rights and obligations that apply;
- 4) The evidence supporting these rights and obligations;
- 5) Areas where rights are contested between local communities , governments and/or others.
- 6) Summary of the means by which the legal and customary rights, and contested rights are addressed by The Organization; and
- 7) The aspirations and goals of local communities related to management activities.

Verifiers & Guidance:

Agreements; Maps; Interviews with local communities; Interviews with managers; Documented customary laws; Organization policies

Indicator 4.1.3

The legal and customary rights of local communities to maintain control over management activities are not violated by The Organization.

Verifiers & Guidance:

Complain registers; Interviews with local communities; Field observation

Indicator 4.1.4

Where evidence exists that legal and customary rights of local communities related to management activities have been violated the situation is corrected, if necessary, through culturally appropriate engagement and/or through the dispute resolution process in Criteria 1.6 or 4.6.

Verifiers & Guidance:

Interviews with managers and local communities; Dispute resolution records

Indicator 4.1.5

Free, prior and informed consent is granted by local communities prior to management activities that affect their identified rights through a process that includes:

- 1) Ensuring local communities know their rights and obligations regarding the resource;
- 2) Informing the local communities of the value, in economic, social and environmental terms, of the

Verifiers & Guidance:

SLIMF only: Agreements; Interviews with local communities and managers; Minutes and notice of meetings

<p>resource over which they are considering delegation of control;</p> <p>3) Informing the local communities of their right to withhold or modify consent to the proposed management activities to the extent necessary to protect their rights and resources; and</p> <p>4) Informing the local communities of the current and future planned forest management activities.</p>	
<p>Criterion 4.2</p>	<p>The Organization shall recognize and uphold the legal and customary rights of local communities to maintain control over management activities within or related to the Management Unit to the extent necessary to protect their rights, resources, lands and territories. Delegation by local communities of control over management activities to third parties requires Free, Prior and Informed Consent. (C2.2 P&C V4)</p>
<p>Indicator 4.2.1</p>	<p>Verifiers & Guidance:</p>
<p>Through culturally appropriate engagement local communities are informed of when, where and how they can comment on and request modification to management activities to the extent necessary to protect their rights.</p>	<p>Interviews with managers and Local communities; Notice of meetings; Meeting minutes</p>
<p>Criterion 4.3</p>	<p>The Organization shall provide reasonable opportunities for employment, training and other services to local communities, contractors and suppliers proportionate to scale and intensity of its management activities. (C4.1 P&C V4)</p>
<p>Indicator 4.3.1</p>	<p>Verifiers & Guidance:</p>
<p>Reasonable opportunities are communicated and provided to local communities, local contractors and local suppliers for:</p> <p>1) Employment, 2) Training, and 3) Other services.</p>	<p>Notice of advertisements; Training records; Employment records; Unrestrictive contracts to local communities.</p>
<p>Criterion 4.4</p>	<p>The Organization shall implement additional activities, through engagement with local communities that contribute to their social and economic development, proportionate to the scale, intensity and socio-economic impact of its management activities. (C4.4 P&C V4)</p>
<p>Indicator 4.4.1</p>	<p>Verifiers & Guidance:</p>
<p>Opportunities for local social and economic development are identified through culturally appropriate engagement with local communities and other relevant organizations.</p>	<p>Interviews with local communities and managers, List of available opportunities</p>
<p>Indicator 4.4.2</p>	<p>Verifiers & Guidance:</p>
<p>Projects and additional activities are implemented and / or supported that contribute to local social and economic benefit and are proportionate to the socio-economic impact of management activities.</p>	<p>Field observation; Socio-economic impact reports; Interview with local communities; Project implementation reports</p>
<p>Criterion 4.5</p>	<p>The Organization, through engagement with local communities, shall take action to identify, avoid and mitigate significant negative social, environmental and economic impacts of its management activities on affected communities. The action taken shall be proportionate to the scale, intensity and risk of those activities and negative impacts. (C4.4 P&C V4)</p>
<p>Indicator 4.5.1</p>	<p>Verifiers & Guidance:</p>
<p>Through culturally appropriate engagement with local communities, measures are implemented to</p>	

<p>identify, avoid and mitigate significant negative social, environmental and economic impacts of management activities.</p>	<p>Large Organization: Interview with managers and local communities; Field observations; Implementation reports; Annual Risk assessment report</p> <p>SLIMF: Interview with managers and local communities; Field observations, Annual Risk assessment report</p>
<p>Criterion 4.6 The Organization, through engagement with local communities, shall have mechanisms for resolving grievances and providing fair compensation to local communities and individuals with regard to the impacts of management activities of The Organization. (C4.5 P&CV4)</p>	
<p>Indicator 4.6.1</p> <p>A publicly available dispute resolution process is in place, developed through culturally appropriate engagement with local communities.</p>	<p>Verifiers & Guidance:</p> <p>Dispute resolution procedures are publicly available</p>
<p>Indicator 4.6.2</p> <p>Grievances related to the impacts of management activities are resolved in timely manner in accordance to the dispute resolution process defined in 4.6.1</p>	<p>Verifiers & Guidance:</p> <p>Interviews with local communities; Grievances/Dispute reports.</p>
<p>Indicator 4.6.3</p> <p>An up to date record of grievances related to the impacts of management activities is held including:</p> <ol style="list-style-type: none"> 1) Steps taken to resolve grievances 2) Outcomes of all dispute resolution processes including fair compensation to local communities and individuals; and 3) Unresolved disputes, the reasons they are not resolved, and how they will be resolved. 	<p>Verifiers & Guidance:</p> <p>Dispute registers; Complain registers; Meeting minutes</p>
<p>Indicator 4.6.4</p> <p>Operations cease in areas while disputes exist of:</p> <ol style="list-style-type: none"> 1) Substantial magnitude; 2) Substantial duration; or 3) Involving a significant number of interests. 	<p>Verifiers & Guidance:</p> <p>Interviews with local communities and managers; Field observations</p>
<p>Criterion 4.7 The Organization, through engagement with local communities, shall identify sites which are of special cultural, ecological, economic, religious or spiritual significance, and for which these local communities hold legal or customary rights. These sites shall be recognized by The Organization, and their management and/or protection shall be agreed through engagement with these local communities. (new)</p>	
<p>Indicator 4.7.1</p> <p>Sites of special cultural, ecological, economic, religious or spiritual significance for which local communities hold legal or customary rights are identified through culturally appropriate engagement and are recognized by The Organization.</p>	<p>Verifiers & Guidance:</p> <p>Management plans; Maps with identified sites; Meeting minutes, field observations</p>

Criterion 4.8	The Organization shall uphold the right of local communities to protect and utilize their traditional knowledge and shall compensate local communities for the utilization of such knowledge and their intellectual property. A binding agreement as per Criterion 3.3 shall be concluded between The Organization and the local communities for such utilization through Free, Prior and Informed Consent before utilization takes place, and shall be consistent with the protection of intellectual property rights. (new)
Indicator 4.8.1	Verifiers & Guidance:
Traditional knowledge and intellectual property are protected and are only used when the owner(s) of that traditional knowledge and intellectual property have provided their Free, Prior and Informed Consent formalized through a binding agreement.	Binding agreements; Meeting minutes; Interviews with local communities.
Indicator 4.8.2	Verifiers & Guidance:
Local communities are compensated according to the binding agreement reached through Free, Prior and Informed Consent for the use of traditional knowledge and intellectual property.	Evidence of compensation /financial records; Agreement records, interviews with Indigenous peoples
PRINCIPLE 5. BENEFITS FROM THE FOREST:	
<i>The Organization shall efficiently manage the range of multiple products and services of the Management Unit to maintain or enhance long-term economic viability and the range of social and environmental benefits. (P5 P&C V4)</i>	
Criterion 5.1	The Organization shall identify, produce, or enable the production of, diversified benefits and/or products, based on the range of resources and ecosystem services existing in the Management Unit in order to strengthen and diversify the local economy proportionate to the scale and intensity of management activities. (C5.2 and 5.4 P&C V4).
Indicator 5.1.1	Verifiers & Guidance:
The range of timber and non-timber products and ecosystem services that could strengthen and diversify the local economy is identified and documented.	Management plans; Biodiversity Assessment reports (EIA, baseline study etc.); Maps
Indicator 5.1.2	Verifiers & Guidance:
The Organization makes responsible and sustainable use of identified timber and non-timber resources and ecosystem services and/or makes them available for others to use, thus helping to strengthen and diversify the local economy.	List of identified benefits, Management plans, Interviews with local communities, Field reports.
Indicator 5.1.3	Verifiers & Guidance:
When The Organization makes FSC promotional claims regarding the maintenance and/or enhancement of ecosystem services, Annex C is followed regarding additional requirements.	Land title deeds; Management plans; Business plans; Monitoring reports and evaluation
Criterion 5.2	The Organization shall normally harvest products and services from the Management Unit at or below a level which can be permanently sustained. (C5.6 P&C V4)
Indicator 5.2.1	Verifiers & Guidance:
Timber harvesting levels are based on an analysis of current Best Available Information on growth and yield; inventory of the forest; mortality rates; and maintenance of ecosystem functions.	Inventory reports; Harvesting plans; Management plans; Scientific reports; NOTES: National harvesting guidelines for natural forests will be adopted.

Indicator 5.2.2	Verifiers & Guidance:
Based on the timber harvesting level analysis, a maximum allowable annual cut for timber is determined that does not exceed the harvest level that can be permanently sustained including by ensuring that harvest rates do not exceed growth.	Harvesting plans; Management Plan; Periodic monitoring reports
Indicator 5.2.3	Verifiers & Guidance:
Actual annual harvest levels for timber are recorded and the harvest over a defined period does not exceed the allowable cut determined in 5.2.2 for the same defined period.	Harvesting records; monitoring & Evaluation report
Indicator 5.2.4	Verifiers & Guidance:
Sustainable harvest levels of non-timber forest products and services, under The Organization's control, are determined based on the Best Available Information, and are respected and controlled.	Harvesting records; Scientific studies/data/records
Criterion 5.3	The Organization shall demonstrate that the positive and negative externalities of operations are included in the management plan. (C5.1 P&C V4)
Indicator 5.3.1	Verifiers & Guidance:
Costs related to preventing, mitigating or compensating for negative social and environment impacts of management activities, identified under 4.5 and 6.2, are quantified and documented in the management plan.	Budgets; Business plans; EIA reports
Indicator 5.3.2	Verifiers & Guidance:
Benefits related to positive social and environment impacts of management activities are identified and included in the management plan.	Management plans; Interviews with local stakeholders.
Criterion 5.4	The Organization shall use local processing, local services, and local value adding to meet the requirements of The Organization where these are available, proportionate to scale, intensity and risk. If these are not locally available, The Organization shall make reasonable attempts to help establish these services. (C5.2 P&C V4)
Indicator 5.4.1	Verifiers & Guidance:
Where cost, quality and capacity of non-local and local options are at least equivalent, local goods, services, processing and value-added facilities are used.	Procurement records; Interviews with local communities
Indicator 5.4.2	Verifiers & Guidance:
Reasonable attempts are made to establish and encourage capacity where local goods, services, processing and value-added facilities are not available.	Interviews with local communities and managers; Training records; financial records, Legal framework documents

PRINCIPLE 6. ENVIRONMENTAL VALUES AND IMPACTS:

The Organization shall maintain, conserve and/or restore ecosystem services and environmental values of the Management Unit, and shall avoid, repair or mitigate negative environmental impacts. (P6 P&C V4)

Criterion 6.1 *The Organization shall assess environmental values in the Management Unit and those values outside the Management Unit potentially affected by management activities. This assessment shall be undertaken with a level of detail, scale and frequency that is proportionate to the scale, intensity and risk of management activities, and is sufficient for the purpose of deciding the necessary conservation measures, and for detecting and monitoring possible negative impacts of those activities. (new)*

Indicator 6.1.1

Best Available Information is used to identify environmental values within, and, where potentially affected by management activities, outside of the Management Unit

Verifiers & Guidance:

Reliable research findings, monitoring data, management unit records, interviews with relevant state authorities, NGOs, experts, local communities.

Indicator 6.1.2

Assessments of environmental values are conducted with a level of detail and frequency so that:
1) Impacts of management activities on the identified environmental values can be assessed as per Criterion 6.2;
2) Risks to environmental values can be identified as per Criterion 6.2

Verifiers & Guidance:

Assessment reports; Field observations; Interviews with managers, Monitoring reports

Criterion 6.2 *Prior to the start of site-disturbing activities, The Organization shall identify and assess the scale, intensity and risk of potential impacts of management activities on the identified environmental values. (C6.1 P&C V4)*

Indicator 6.2.1

An environmental impact assessment identifies potential present and future impacts of management activities on environmental values, from the stand level to the defined landscape level.

Verifiers & Guidance:

External EIA & Internal audit/adaptation/mitigation reports at landscape and stand levels; Interviews with surrounding communities; Field observations.

NOTE: according to EMA guidelines

Criterion 6.3 *The Organization shall identify and implement effective actions to prevent negative impacts of management activities on the environmental values, and to mitigate and repair those that occur, proportionate to the scale, intensity and risk of these impacts. (C6.1 P&C V4)*

Indicator 6.3.1

Management activities are planned and implemented to prevent negative impacts and to protect environmental values.

Verifiers & Guidance:

Management plans; Annual Plan of Operations (APOs); field observations, monitoring reports, Interviews with forest managers and relevant stakeholders

Indicator 6.3.2

Management activities prevent negative impacts to environmental values

Verifiers & Guidance:

Assessment reports; Field observations; Interviews with managers and local communities

Indicator 6.3.3

Where negative impacts to environmental values occur, measures are adopted to prevent further damage, and negative impacts are mitigated and/or repaired.

Verifiers & Guidance:

Mitigation and restoration plans; Field observations; Interviews with managers and local communities

Criterion 6.4	<i>The Organization shall protect rare species and threatened species and their habitats in the Management Unit through conservation zones, protection areas, connectivity and/or (where necessary) other direct measures for their survival and viability. These measures shall be proportionate to the scale, intensity and risk of management activities and to the conservation status and ecological requirements of the rare and threatened species. The Organization shall take into account the geographic range and ecological requirements of rare and threatened species beyond the boundary of the Management Unit, when determining the measures to be taken inside the Management Unit. (C6.2 P&C V4)</i>
Indicator 6.4.1	Verifiers & Guidance:
Best Available Information is used to identify rare and threatened species, and their habitats, including CITES species (where applicable) and those listed on national, regional and local lists of rare and threatened species that are present or likely to be present within and adjacent to the Management Unit.	Peer reviewed research findings; IUCN Red lists; CITES lists (APPENDICES I, II & III)
Indicator 6.4.2	Verifiers & Guidance:
Potential impacts of management activities on endangered, rare and threatened species and their conservation status and habitats are identified and management activities are modified to avoid negative impacts	Interviews with managers and interviews with relevant state authorities, NGOs, experts, local communities, etc., assessment reports; Maps; reviewed management plans
Indicator 6.4.3	Verifiers & Guidance:
Measures for the protection of the identified rare, threatened and protected species and their habitats are implemented, incl. through the provision of conservation zones, protection areas, connectivity, and other direct means for their survival and viability, such as species' recovery programs.	Field observations; Maps; National Biodiversity Report; NAFORMA /reports; WWF Environmental safeguard report, Management plan and monitoring data
Indicator 6.4.4	Verifiers & Guidance:
Measures are documented and implemented to prevent hunting, fishing, trapping and collection of rare, threatened and protected species	Monitoring reports of populations rare or threatened species, field observations; Interviews with relevant stakeholders.
Criterion 6.5	<i>The Organization shall identify and protect representative sample areas of native ecosystems and/or restore them to more natural conditions. Where representative sample areas do not exist or are insufficient, The Organization shall restore a proportion of the Management Unit to more natural conditions. The size of the areas and the measures taken for their protection or restoration, including within plantations, shall be proportionate to the conservation status and value of the ecosystems at the landscape level, and the scale, intensity and risk of management activities. (C6.4 and 10.5 P&C V4 and Motion 2014#7)</i>
Indicator 6.5.1	Verifiers & Guidance:
Natural ecosystems on the territory of the FMU that exist in natural environmental conditions or existed but degraded due to natural disturbances or anthropogenic impact	Research findings; Assessment reports; Maps
Indicator 6.5.2	Verifiers & Guidance:
Representative Sample Areas of all native ecosystems are conserved	Management plans; Maps; field observation; Interviews with stakeholders
Indicator 6.5.3	Verifiers & Guidance:

<p>Where representative samples of native forest ecosystems do not exist, or where existing sample areas inadequately represent native ecosystems, the Organisation will restore proportion of the FMU to more natural conditions.</p>	<p>Field observations; Maps; Interviews with managers and local communities</p>
<p>Indicator 6.5.4</p> <p>The size of the Representative Sample Areas and/or restoration areas is proportionate to the conservation status and value of the ecosystems at the landscape level, the size of the Management Unit and the intensity of forest management.</p> <p>Large organization: 5% of representative sample in plantations SLIMF: 10% of representative sample for Natural forests</p>	<p>Verifiers & Guidance:</p> <p>Maps; Field observation; Management plans</p>
<p>Indicator 6.5.5</p> <p>Representative Sample Areas in combination with other components of the conservation areas network comprise a minimum 10% area of the Management Unit and mapped.</p>	<p>Verifiers & Guidance:</p> <p>Field observation; Management plans</p>
<p>Criterion 6.6 <i>The Organization shall effectively maintain the continued existence of naturally occurring native species and genotypes, and prevent losses of biological diversity, especially through habitat management in the Management Unit. The Organization shall demonstrate that effective measures are in place to manage and control hunting, fishing, trapping and collecting. (C6.2 and C6.3 P&C V4)</i></p>	
<p>Indicator 6.6.1</p> <p>Management activities maintain the plant communities and habitat features found within native ecosystems in which the Management Unit is located.</p>	<p>Verifiers & Guidance:</p> <p>Management plans; Field observation; Monitoring data</p>
<p>Indicator 6.6.2</p> <p>Where past management has eliminated plant communities or habitat features, management activities aimed at re-establishing such habitats are implemented.</p>	<p>Verifiers & Guidance:</p> <p>Management plans; Field observation; Interviews with stakeholders and monitoring data</p>
<p>Indicator 6.6.3</p> <p>Management maintains, enhances, or restores habitat features associated with native ecosystems, to support the diversity of naturally occurring species and their genetic diversity.</p>	<p>Verifiers & Guidance:</p> <p>Field observation; Management plans; Assessments reports and monitoring data</p>
<p>Indicator 6.6.4</p> <p>Effective measures are taken to manage and control hunting, fishing, trapping and collecting activities to ensure that naturally occurring native species, their diversity within species and their natural distribution are maintained.</p>	<p>Verifiers & Guidance:</p> <p>Organisation's procedures and guidelines; Reports; Management plans</p>
<p>Indicator 6.6.5</p> <p>Mechanisms for wildlife protection are in place: National Wildlife and/or international regulations on</p>	<p>Verifiers & Guidance:</p> <p>Organisation's policy and procedures</p>

protection, hunting and trade in animal species or parts (trophies) shall be known and complied with.	
Indicator 6.6.6	Verifiers & Guidance:
An internal regulation is implemented, banning and punishing the transportation of and trade in bush meat and firearms in the facilities and vehicles of The Organization	Organisation's policy and procedures
Indicator 6.6.7	Verifiers & Guidance:
A system of regular monitoring to ensure hunting policies are respected and implemented.	Interview with managers and key informants, Organisation's policies and procedures
Indicator 6.6.8	Verifiers & Guidance:
Effective measures are in place to ensure that workers do not practice or assist hunting trapping or collecting of bush meat or wild fish.	company procedures and guidelines, Interviews with key informants and reports
Criterion 6.7	The Organization shall protect or restore natural watercourses, water bodies, riparian zones and their connectivity. The Organization shall avoid negative impacts on water quality and quantity and mitigate and remedy those that occur. (C6.5 and 10.2 P&C V4)
Indicator 6.7.1	Verifiers & Guidance:
Protection measures are implemented to protect natural watercourses, water bodies, riparian zones and their connectivity, including water quantity and water quality in the FMUs.	Monitoring reports; Field Observations; Interviews with managers and experts
Indicator 6.7.2	Verifiers & Guidance:
Where natural watercourses, water bodies, riparian zones and their ecological connectivity, water quantity and water quality damaged by activities of the Organization, incl. activities in the past, are restored	Assessment reports; Monitoring reports; Interview with managers and experts; Field observation
Indicator 6.7.3	Verifiers & Guidance:
Where continued degradation exists to watercourses, water bodies, water quantity and water quality caused by previous managers and the activities of third parties, measures are implemented that prevent or mitigate this degradation.	Restoration plans; field observations; Interviews with managers and surrounding local communities.
Indicator 6.7.4	Verifiers & Guidance:
Where continued degradation exists to watercourses, water bodies, water quantity and water quality caused by previous managers and the activities of third parties, measures are implemented that prevent or mitigate this degradation.	Restoration plans; field observations; Interviews with managers and surrounding local communities.

Criterion 6.8	The Organization shall manage the landscape in the Management Unit to maintain and/or restore a varying mosaic of species, sizes, ages, spatial scales and regeneration cycles appropriate for the landscape values in that region, and for enhancing environmental and economic resilience. (C10.2 and 10.3 P&C V4)
Indicator 6.8.1	Verifiers & Guidance:
A varying mosaic of species, sizes, ages, spatial scales, and regeneration cycles is maintained appropriate to the landscape.	Management plans ; Field observations; Interviews with managers and interviews with relevant stakeholders
Indicator 6.8.2	Verifiers & Guidance:
The mosaic of species, sizes, ages, spatial scales, and regeneration cycles is identified restored where it has not been maintained appropriate to the landscape.	Field observations; Interviews with managers and key informants; Maps, restoration plans
Criterion 6.9	The Organization shall not convert natural forest to plantations, nor natural forests or plantations on sites directly converted from natural forest to non-forest land use, except when the conversion: a) Affects a very limited portion of the area of the Management Unit, and b) Will produce clear, substantial, additional, secure long-term conservation benefits in the Management Unit, and c) Does not damage or threaten High Conservation Values, nor any sites or resources necessary to maintain or enhance those High Conservation Values. (C6.10 P&C V4 and Motion 2014#7)
Indicator 6.9.1	Verifiers & Guidance:
There is no conversion of natural forest to plantations, nor conversion of natural forests to non-forest land use, nor conversion of plantations on sites directly converted from natural forest to non-forest land use, except when the conversion: 1) Affects a very limited portion of the Management Unit 2)The conversion will produce clear, substantial, additional, secure, long-term conservation benefits in the Management Unit; and 3) Does not damage or threaten High Conservation Values, nor any sites or resources necessary to maintain or enhance those High Conservation Values.	Management plans; Maps; Interviews with managers and stakeholders; Field observations, SEA/EIA reports indicating insignificant long term negative impacts
Criterion 6.10	Management Units containing plantations that were established on areas converted from natural forest after November 1994 shall not qualify for certification, except where: a) Clear and sufficient evidence is provided that The Organization was not directly or indirectly responsible for the conversion, or b) The conversion affected a very limited portion of the area of the Management Unit and is producing clear, substantial, additional, secure long-term conservation benefits in the Management Unit. (C10.9 P&C V4)
Indicator 6.10.1	Verifiers & Guidance:
Based on Best Available Information, accurate data is compiled on all conversions since 1994.	Research findings; Land titles; GIS information/remote sensing; Interviews with managers and key informants

Indicator 6.10.2	Verifiers & Guidance:
<p>Areas converted from natural forest to plantation since November 1994 are not certified, except where:</p> <ol style="list-style-type: none"> 1) The Organization provides clear and sufficient evidence that it was not directly or indirectly responsible for the conversion; or 2) The conversion is producing clear, substantial, additional, secure, long-term conservation benefits in the Management Unit; and 3) The total area of plantation on sites converted from natural forest since November 1994 is less than 5% of the total area of the Management Unit. 	<p>Research findings; Land titles; GIS information/remote sensing; Interviews with managers and stakeholders</p>
<p>PRINCIPLE 7. MANAGEMENT PLANNING:</p> <p><i>The Organization shall have a management plan consistent with its policies and objectives and proportionate to scale, intensity and risks of its management activities. The management plan shall be implemented and kept up to date based on monitoring information in order to promote adaptive management. The associated planning and procedural documentation shall be sufficient to guide staff, inform affected stakeholders and interested stakeholders and to justify management decisions. (P7 P&CV4)</i></p>	
<p>Criterion 7.1 The Organization shall, proportionate to scale, intensity and risk of its management activities, set policies (visions and values) and objectives for management, which are environmentally sound, socially beneficial and economically viable. Summaries of these policies and objectives shall be incorporated into the management plan, and publicized. (C7.1a P&C V4)</p>	
Indicator 7.1.1	Verifiers & Guidance:
<p>Policies (vision and values) that contribute to meeting the requirements of this standard are defined.</p>	<p>Organization policy; management plans</p>
Indicator 7.1.2	Verifiers & Guidance:
<p>Specific, operational management objectives that address the requirements of this standard are defined.</p>	<p>Management plans, Interview with affected stakeholders</p>
Indicator 7.1.3	Verifiers & Guidance:
<p>Summaries of the defined policies and management objectives are included in the management plan and publicized.</p>	<p>Public summary of the Management plans; Websites; annual reports</p>
<p>Criterion 7.2 The Organization shall have and implement a management plan for the Management Unit which is fully consistent with the policies and management objectives as established according to Criterion 7.1. The management plan shall describe the natural resources that exist in the Management Unit and explain how the plan will meet the FSC certification requirements. The management plan shall cover forest management planning and social management planning proportionate to scale, intensity and risk of the planned activities. (C7.1 P&C V4)</p>	
Indicator 7.2.1	Verifiers & Guidance:
<p>The management plan includes management actions, procedures, strategies and measures to achieve the management objectives.</p>	<p>Management plans; Annual plans of operations (APO's)</p>
Indicator 7.2.2	Verifiers & Guidance:

The management plan addresses the elements listed in Annex E, and is implemented.	Management plans, Interviews, field observations
Criterion 7.3 <i>The management plan shall include verifiable targets by which progress towards each of the prescribed management objectives can be assessed. (new)</i>	
Indicator 7.3.1 Verifiable targets, and the frequency that they are assessed, are established for monitoring the progress towards each management objective.	Verifiers & Guidance: Management plans, Interviews, monitoring reports, field observations
Criterion 7.4 <i>The Organization shall update and revise periodically the management planning and procedural documentation to incorporate the results of monitoring and evaluation, stakeholder engagement or new scientific and technical information, as well as to respond to changing environmental, social and economic circumstances. (C7.2 P&C V4)</i>	
Indicator 7.4.1 The management plan is revised and updated periodically consistent with Annex F to incorporate: 1) Monitoring results, including results of certification audits; 2) Evaluation results; 3) Stakeholder engagement results; 4) New scientific and technical information, and 5) Changing environmental, social, or economic circumstances.	Verifiers & Guidance: Management plan; guidelines; Monitoring reports; Interviews with managers & stakeholders, management meetings minutes
Criterion 7.5 <i>The Organization shall make publicly available a summary of the management plan free of charge. Excluding confidential information, other relevant components of the management plan shall be made available to affected stakeholders on request, and at cost of reproduction and handling. (C7.4 P&C V4)</i>	
Indicator 7.5.1 summary of the management plan in a format comprehensible to stakeholders including maps and excluding confidential information is made publicly available at no cost	Verifiers & Guidance: Summary of management plan; interviews with stakeholders; websites; dispatch books
Indicator 7.5.2 Relevant components of the management plan, excluding confidential information, are available to affected stakeholders on request at the actual costs of reproduction and handling	Verifiers & Guidance: Formal request letters; Receipt, Annual Operation Plan (APO), Simplified Annual Reports
Criterion 7.6 <i>The Organization shall, proportionate to scale, intensity and risk of management activities, proactively and transparently engage affected stakeholders in its management planning and monitoring processes, and shall engage interested stakeholders on request. (C4.4 P&C V4)</i>	
Indicator 7.6.1 Culturally appropriate engagement is used to ensure that affected stakeholders are proactively and transparently engaged in the following processes: 1) Dispute resolution processes (Criterion 1.6, Criterion 2.6, Criterion 4.6); 2) Definition of Living wages (Criterion 2.4);	Verifiers & Guidance: Meetings minutes; agreements; interviews with stakeholders; records

<p>3) Identification of rights (Criterion 3.1, Criterion 4.1), sites (Criterion 3.5, Criterion 4.7) and impacts (Criterion 4.5);</p> <p>4) Local communities' socio-economic development activities (Criterion 4.4); and</p> <p>5) High Conservation Value assessment, management and monitoring (Criterion 9.1, Criterion 9.2, Criterion 9.4).</p>	
<p>Indicator 7.6.2</p>	<p>Verifiers & Guidance:</p>
<p>Fair opportunity is given to all stakeholder groups to engage in the management planning process.</p>	<p>Meeting minutes; attendance register</p>
<p>Indicator 7.6.3</p>	<p>Verifiers & Guidance:</p>
<p>Records of stakeholder engagement are kept and stakeholders are informed of outcomes. Activities that affect their interests.</p>	<p>Interviews , minutes of the meetings</p>
<p>Indicator 7.6.4</p>	<p>Verifiers & Guidance:</p>
<p>On request, interested stakeholders are provided with an opportunity for engagement in monitoring and planning processes of management activities that affect their interests.</p>	<p>Formal request; monitoring reports</p>
<p>PRINCIPLE 8. MONITORING AND ASSESSMENT:</p>	
<p><i>The Organization shall demonstrate that, progress towards achieving the management objectives, the impacts of management activities and the condition of the Management Unit, are monitored and evaluated proportionate to the scale, intensity and risk of management activities, in order to implement adaptive management. (P8 P&C V4)</i></p>	
<p>Criterion 8.1 <i>The Organization shall monitor the implementation of its Management Plan, including its policies and management objectives, its progress with the activities planned, and the achievement of its verifiable targets. (new)</i></p>	
<p>Indicator 8.1.1</p>	<p>Verifiers & Guidance:</p>
<p>Procedures are documented and executed for monitoring the implementation of the management plan including its policies and management objectives and achievement of verifiable targets.</p>	<p>Monitoring plan; procedure manual</p>
<p>Criterion 8.2 <i>The Organization shall monitor and evaluate the environmental and social impacts of the activities carried out in the Management Unit, and changes in its environmental condition. (C8.2 P&C V4)</i></p>	
<p>Indicator 8.2.1</p>	<p>Verifiers & Guidance:</p>
<p>The social and environmental impacts of management activities are monitored consistent with Annex G.</p>	<p>Monitoring Reports; Interviews with interested and affected stakeholders</p>
<p>Indicator 8.2.2</p>	<p>Verifiers & Guidance:</p>
<p>Changes in environmental conditions are monitored consistent with Annex G.</p>	<p>Monitoring reports.</p>

Criterion 8.3		The Organization shall analyse the results of monitoring and evaluation and feed the outcomes of this analysis back into the planning process. (C8.4 P&C V4)
Indicator 8.3.1	Verifiers & Guidance:	
Adaptive management procedures are implemented so that monitoring results feed into periodic updates to the planning process and the resulting management plan	Management plan reviews; Monitoring results	
Indicator 8.3.2	Verifiers & Guidance:	
If monitoring results show non-conformities with the FSC Standard then management objectives, verifiable targets and / or management activities are revised.	Management plan reviews; Monitoring results	
Criterion 8.4		The The Organization shall make publicly available a summary of the results of monitoring free of charge, excluding confidential information. (C8.5 P&C V4)
Indicator 8.4.1	Verifiers & Guidance:	
summary of the monitoring results consistent with Annex G, in a format comprehensible to stakeholders including maps and excluding confidential information is made publicly available at no cost	Public Summaries of Monitoring results; Websites, Maps	
Criterion 8.5		The Organization shall have and implement a tracking and tracing system proportionate to scale, intensity and risk of its management activities, for demonstrating the source and volume in proportion to projected output for each year, of all products from the Management Unit that are marketed as FSC certified. (C8.3 P&C V4)
Indicator 8.5.1	Verifiers & Guidance:	
A system is implemented to track and trace all products that are marketed as FSC certified.	Dispatch notes; Invoices and Receipts; FSC Labels, Online database	
Indicator 8.5.2	Verifiers & Guidance:	
Information about all products sold is compiled and documented, including: 1) Common and scientific species name; 2) Product name or description; 3) Volume (or quantity) of product; 4) Information to trace the material to the source of origin logging block; 5) Logging date; 6) If basic processing activities take place in the forest, the date and volume produced; and 7) Whether or not the material was sold as FSC certified.	Forest inventory data, Approved harvesting plan, Harvesting Records; Invoices; Receipts; Transportation records; Log tracking system	
Indicator 8.5.3	Verifiers & Guidance:	
Sales invoices or similar documentation are kept for a minimum of five years for all products sold with an FSC claim, which identify at a minimum, the following information: 1) Name and address of purchaser; 2) The date of sale; 3) Common and scientific species name; 4) Product description;	Sales Invoices and records	

<p>5) The volume (or quantity) sold; 6) Certificate code; and 7) The FSC Claim "FSC 100%" identifying products sold as FSC certified.</p>	
<p>PRINCIPLE 9. HIGH CONSERVATION VALUES: <i>The Organization shall maintain and/or enhance the High Conservation Values in the Management Unit through applying the precautionary approach. (P9 P&C V4)</i></p>	
<p>Criterion 9.1</p>	<p>The Organization, through engagement with affected stakeholders, interested stakeholders and other means and sources, shall assess and record the presence and status of the following High Conservation Values in the Management Unit, proportionate to the scale, intensity and risk of impacts of management activities, and likelihood of the occurrence of the High Conservation Values:</p> <p>HCV 1 – Species diversity. Concentrations of biological diversity including endemic species, and rare, threatened or endangered species, that are significant at global, regional or national levels.</p> <p>HCV 2 – Landscape-level ecosystems and mosaics. Intact forest landscapes and large landscape-level ecosystems and ecosystem mosaics that are significant at global, regional or national levels, and that contain viable populations of the great majority of the naturally occurring species in natural patterns of distribution and abundance.</p> <p>HCV 3 – Ecosystems and habitats. Rare, threatened, or endangered ecosystems, habitats or refugia.</p> <p>HCV 4 – Critical ecosystem services. Basic ecosystem services in critical situations, including protection of water catchments and control of erosion of vulnerable soils and slopes.</p> <p>HCV 5 – Community needs. Sites and resources fundamental for satisfying the basic necessities of local communities or Indigenous Peoples (for livelihoods, health, nutrition, water, etc.), identified through engagement with these communities or Indigenous Peoples.</p> <p>HCV 6 – Cultural values. Sites, resources, habitats and landscapes of global or national cultural, archaeological or historical significance, and/or of critical cultural, ecological, economic or religious/sacred importance for the traditional cultures of local communities or Indigenous Peoples, identified through engagement with these local communities or Indigenous Peoples.</p> <p>(C9.1 P&C V4 and Motion 2014#7)</p>
<p>Indicator 9.1.1</p> <p>An assessment is completed using Best Available Information that records the location and status of High Conservation Value Categories 1-6, as defined in Criterion 9.1; the High Conservation Value Areas they rely upon (Annex I), and their condition.</p>	<p>Verifiers & Guidance: Management plans, Assessment reports; Maps</p>
<p>Indicator 9.1.2</p> <p>The assessment uses results from culturally appropriate engagement (Annex I) with affected and interested stakeholders with an interest in the conservation of the High Conservation Values</p>	<p>Verifiers & Guidance: Assessment reports; Interviews with affected/interested stakeholders; Monitoring reports</p>
<p>Criterion 9.2</p> <p><i>The Organization shall develop effective strategies that maintain and/or enhance the identified High Conservation Values, through engagement with affected stakeholders, interested stakeholders and experts. (C9.2 P&C V4)</i></p>	
<p>Indicator 9.2.1</p>	<p>Verifiers & Guidance:</p>

Threats to High Conservation Values are identified using Best Available Information (Annex I).	Assessment and Monitoring reports; Interviews with managers and stakeholders.
Indicator 9.2.2	Verifiers & Guidance:
Management strategies and actions are developed to maintain and/or enhance the identified High Conservation Values and to maintain associated High Conservation Value Areas (Annex I) prior to implementing potentially harmful management activities.	Management plans; Internal assessment reports; Field observation, Annual work plans, Annual Implementation reports.
Indicator 9.2.3	Verifiers & Guidance:
Affected and interested stakeholders and experts are engaged in the development of management strategies and actions to maintain and/or enhance the identified High Conservation Values.	Correspondences; meeting minutes; consultancy reports.
Indicator 9.2.4	Verifiers & Guidance:
The strategies developed are effective to maintain and/or enhance the High Conservation Values.	Management plans; assessment reports, Annual Plan of Operations, Annual Action Plans
Criterion 9.3	<i>The Organization shall implement strategies and actions that maintain and/or enhance the identified High Conservation Values. These strategies and actions shall implement the precautionary approach and be proportionate to the scale, intensity and risk of management activities. (C9.3 P&C V4)</i>
Indicator 9.3.1	Verifiers & Guidance:
The High Conservation Values and the High Conservation Value Areas on which they depend are maintained and/or enhanced, including by implementing the strategies developed (Annex I).	Annual reports; Sustainability reports; Public summary of the monitoring results, management plans
Indicator 9.3.2	Verifiers & Guidance:
The strategies and actions prevent damage and avoid risks to High Conservation Values, even when the scientific information is incomplete or inconclusive, and when the vulnerability and sensitivity of High Conservation Values are uncertain.	Field observations & reports; Interviews with managers and local communities; Assessment report, Management plans
Indicator 9.3.3	Verifiers & Guidance:
Activities that harm High Conservation Values cease immediately and actions are taken to restore and protect the High Conservation Values.	Corrective actions; Correction action registers; Field observations; Interviews with managers, and stakeholders, monitoring reports
Indicator 9.3.4	Verifiers & Guidance:
Intact Forest Landscape within the Management Units identified as HCV is protected to ensure that at least 80% of the IFL in the Management Unit is maintained.	Standards Operating Procedure, Scientific Reports, Monitoring data, Maps, General Management Plan

Criterion 9.4	The Organization shall demonstrate that periodic monitoring is carried out to assess changes in the status of High Conservation Values, and shall adapt its management strategies to ensure their effective protection. The monitoring shall be proportionate to the scale, intensity and risk of management activities, and shall include engagement with affected stakeholders, interested stakeholders and experts. (C9.4 P&C V4)
Indicator 9.4.1	Verifiers & Guidance: Monitoring plan and reports
A program of periodic monitoring (Annex I) assesses: 1) Implementation of strategies; 2) The status of High Conservation Values including High Conservation Value Areas on which they depend; and 3) The effectiveness of the management strategies and actions for the protection of High Conservation Value to fully maintain and/or enhance the High Conservation Values.	
Indicator 9.4.2	Verifiers & Guidance: Interviews with affected and interested stakeholders, Monitoring plans
The monitoring program includes engagement with affected and interested stakeholders and experts.	
Indicator 9.4.3	Verifiers & Guidance: Interviews with managers and stakeholders, monitoring reports; research findings; field observations, Reviewed management plan
The monitoring program has sufficient scope, detail and frequency to detect changes in High Conservation Values, relative to the initial assessment and status identified for each High Conservation Value.	
Indicator 9.4.4	Verifiers & Guidance: Interviews with managers and local communities; monitoring reports; research findings; field observations, Reviewed management plan.
Management strategies and actions are adapted when monitoring or other new information shows that these strategies and actions are insufficient to ensure the maintenance and/or enhancement of High Conservation Values.	
PRINCIPLE 10. IMPLEMENTATION OF MANAGEMENT ACTIVITIES: <i>Management activities conducted by or for The Organization for the Management Unit shall be selected and implemented consistent with The Organization's economic, environmental and social policies and objectives and in compliance with the Principles and Criteria collectively. (new)</i>	
Criterion 10.1	After harvest or in accordance with the management plan, The Organization shall, by natural or artificial regeneration methods, regenerate vegetation cover in a timely fashion to pre-harvesting or more natural conditions. (new)
Indicator 10.1.1	Verifiers & Guidance: Compartment registers Field observation; harvesting plan and planting plan NOTE: For an existing plantation, the species harvested may be the same as the one(s) used to regenerate. However, the species composition might be changed, consistent with Criterion 10.2
Harvested sites are regenerated in a timely manner that: 1) Protects affected environmental values; and 2) Is suitable to recover overall pre-harvest or natural forest composition and structure.	
Indicator 10.1.2	Verifiers & Guidance: Field observations; compartment records; site species matching studies, Annual implementation reports
Regeneration activities are implemented in a manner that:	

<p>1) For harvest of existing plantations, regenerate to the vegetation cover that existed prior to the harvest or to more natural conditions using ecologically well-adapted species;</p> <p>2) For harvest of natural forests, regenerate to pre-harvest or to more natural conditions; or</p> <p>3) For harvest of degraded natural forests, regenerate to more natural conditions.</p>	
<p>Criterion 10.2 <i>The Organization shall use species for regeneration that are ecologically well adapted to the site and to the management objectives. The Organization shall use native species and local genotypes for regeneration, unless there is clear and convincing justification for using others. (C10.4 and C10.8 P&C V4)</i></p>	
<p>Indicator 10.2.1</p> <p>Species chosen for regeneration of both native and exotic plantations are ecologically well adapted to the site, are native species and are of local provenance, unless clear and convincing justification is provided for using non-local genotypes or non-native species</p>	<p>Verifiers & Guidance:</p> <p>Field observations; Site species matching studies; compartment records; Interviews with managers</p>
<p>Indicator 10.2.2</p> <p>Species chosen for regeneration are consistent with the regeneration objectives and with the management objectives.</p>	<p>Verifiers & Guidance:</p> <p>Field observations; Monitoring report; management plans; Interviews with managers</p>
<p>Criterion 10.3 <i>The Organization shall only use alien species when knowledge and/or experience have shown that any invasive impacts can be controlled and effective mitigation measures are in place. (C6.9 and C10.8 P&C V4)</i></p>	
<p>Indicator 10.3.1</p> <p>Alien species are used only when direct experience and / or the results of scientific research demonstrate that invasive impacts can be controlled.</p>	<p>Verifiers & Guidance:</p> <p>Research findings; Scientific reports, Interviews with managers</p>
<p>Indicator 10.3.2</p> <p>Alien species are used only when effective mitigation measures are in place to control their spread outside the area in which they are established.</p>	<p>Verifiers & Guidance:</p> <p>Research findings; Scientific reports, Interviews with managers</p>
<p>Indicator 10.3.3</p> <p>The spread of invasive species introduced by The Organization is monitored and controlled.</p>	<p>Verifiers & Guidance:</p> <p>Monitoring records; Field observations ; Control mechanisms</p>
<p>Indicator 10.3.4</p> <p>Management activities are implemented, preferably in cooperation with separate regulatory bodies where these exist, with an aim to control the invasive impacts of alien species that were not introduced by The Organization.</p>	<p>Verifiers & Guidance:</p> <p>Agreements with regulatory bodies, formal communication with relevant authority</p>

Criterion 10.4		The Organization shall not use genetically modified organisms in the Management Unit. (C6.8 P&C V4)
Indicator 10.4.1	Verifiers & Guidance:	
Genetically modified organisms are not used in forest management activities.	Interviews with managers and regulatory bodies; Local communities; research findings, compartment register; list of planted species	
Criterion 10.5		The Organization shall use silvicultural practices that are ecologically appropriate for the vegetation, species, sites and management objectives. (new)
Indicator 10.5.1	Verifiers & Guidance:	
Silvicultural practices are implemented that are ecologically appropriate for the vegetation, species, sites and management objectives.	Management plan; field observations; interviews with managers, compartment records	
Criterion 10.6		The Organization shall minimize or avoid the use of fertilizers. When fertilizers are used, The Organization shall demonstrate that use is equally or more ecologically and economically beneficial than use of silvicultural systems that do not require fertilizers, and prevent, mitigate, and/or repair damage to environmental values, including soils. (C10.7 P&C V4 and Motion 2014#7)
Indicator 10.6.1	Verifiers & Guidance:	
The use of fertilizers is minimized or avoided based on real nutrient deficiency proven by soil and site data or other relevant analysis.	Chemical use records; Interviews with managers; Monitoring records , Soil Analysis reports	
Indicator 10.6.2	Verifiers & Guidance:	
A procedure is in place aiming at documented analysis of the most appropriate non-fertilizer alternatives that are considered and rejected provided that ecological and economic benefits are equal to or higher than those of silvicultural systems.	Monitoring reports, Scientific studies; Field observations, Interviews with managers, Soil analysis reports	
Indicator 10.6.3	Verifiers & Guidance:	
When fertilizers are used, their types, rates, frequencies and site of application are documented.	Fertilizer use records.	
Indicator 10.6.4	Verifiers & Guidance:	
When fertilizers are used, environmental values are protected, including through implementation of measures to prevent damage.	Internal Monitoring & evaluation reports	
Indicator 10.6.5	Verifiers & Guidance:	
Damage to environmental values resulting from fertilizer use is mitigated or repaired.	field observations; Interviews with managers and Local communities, Monitoring records; APO's and corrective action measures	
Criterion 10.7		The Organization shall use integrated pest management and silviculture systems which avoid, or aim at eliminating, the use of chemical pesticides. The Organization shall not use any chemical pesticides prohibited by FSC policy. When pesticides are used, The Organization shall prevent, mitigate, and/or repair damage to environmental values and human health. (C6.6 and C10.7 P&C V4)
Indicator 10.7.1	Verifiers & Guidance:	

Integrated pest management, including selection of silviculture systems, is implemented to avoid or minimize the use of chemical pesticide	IPM plans; Interviews with manager
Indicator 10.7.2 Chemical pesticides prohibited by FSC's Pesticide Policy are not used or stored in the Management Unit unless FSC has granted derogation.	Verifiers & Guidance: Chemical use records
Indicator 10.7.3 Records of pesticide usage are maintained, including trade name, active ingredient, quantity of active ingredient used, period of use, location and area of use and reason for use.	Verifiers & Guidance: Material safety Datasheets (MSDs) records, Chemical use Register
Indicator 10.7.4 The use of pesticides complies with the ILO document "Safety in the use of chemicals at work" regarding requirements for the transport, storage, handling, application and emergency procedures for clean-up following accidental spillages.	Verifiers & Guidance: Documented procedures, Chemical use records, ILO document on Safety and Use of Chemicals.
Indicator 10.7.5 Pesticides are used at the minimum rates recommended by the manufacturers and application methods provide effective protection to surrounding landscapes.	Verifiers & Guidance: Chemical use procedures and guidelines; Chemical use records
Indicator 10.7.6 Damage to environmental values and human health from pesticide use is prevented, mitigated, repaired and compensated where damage occurs and the process is documented.	Verifiers & Guidance: Interviews with Managers; Company/Organization's policy, mitigation and monitoring report
Indicator 10.7.7 The use of pesticides is based on written analysis of the most appropriate alternative methods and pesticides are used only when: <ul style="list-style-type: none"> - Alternative products and practices are not known; and/or - The known alternative products and practices have been proven to be ineffective; and/or - The use of alternative products and practices is significantly more expensive than the pesticides; and/or - The pesticide, application method and time of use minimize the risk for people and non-target species. 	Verifiers & Guidance: Chemical use records; Reports and guidelines
Indicator 10.7.8 At least 2 weeks before use of pesticides that may have negatively affect people, domestic animals (incl. bees), products and activities, the potentially affected stakeholders are informed (e.g. farmers, beekeepers, herb-gatherers, mushroom-gatherers, tourist associations, etc.) for the chemical pesticides that will be used, the area that is going to be treated and the potential negative impacts	Verifiers & Guidance: Public notice, Correspondences

Criterion 10.8	<i>The Organization shall minimize, monitor and strictly control the use of biological control agents in accordance with internationally accepted scientific protocols. When biological control agents are used, The Organization shall prevent, mitigate, and/or repair damage to environmental values. (C6.8 P&C V4)</i>
Indicator 10.8.1	Verifiers & Guidance:
The use of biological control agents is minimized, monitored and controlled as per Tanzanian Pesticide Research Institute (TPRI) regulations.	Field observations; Interviews with managers; Local communities, Monitoring records, Consultations with TPRI regulations
Indicator 10.8.2	Verifiers & Guidance:
Use of biological control agents complies with internationally accepted scientific protocols.	Company/ organization's guidelines
Indicator 10.8.3	Verifiers & Guidance:
The use of biological control agents is recorded including type, quantity, period, location and reason for use.	Monitoring reports; Field observations
Indicator 10.8.4	Verifiers & Guidance:
Damage to environmental values caused by the use of biological control agents is prevented and mitigated or repaired where damage occurs and the process is documented	Mitigation reports, Interviews with managers
Criterion 10.9	<i>The Organization shall assess risks and implement activities that reduce potential negative impacts from natural hazards proportionate to scale, intensity, and risk. (new)</i>
Indicator 10.9.1	Verifiers & Guidance:
Potential negative impacts of natural hazards on infrastructure, forest resources and communities in the Management Unit are assessed.	Risk Assessment reports
Indicator 10.9.2	Verifiers & Guidance:
Management activities mitigate these impacts.	Field observations; Implementation reports
Indicator 10.9.3	Verifiers & Guidance:
The risk for management activities to increase the frequency, distribution or severity of natural hazards is identified for those hazards that may be influenced by management.	Risk Assessment reports
Indicator 10.9.4	Verifiers & Guidance:
Management activities are modified and/or measures are developed and implemented that reduce the identified risks.	Annual Plan of Operations (APOs) Management Plan Risk assessment, Interviews with managers
Criterion 10.10	<i>The Organization shall manage infrastructural development, transport activities and silviculture so that water resources and soils are protected, and disturbance of and damage to rare and threatened species, habitats, ecosystems and landscape values are prevented, mitigated and/or repaired. (C6.5 P&C V4)</i>
Indicator 10.10.13	Verifiers & Guidance:

<p>Guidelines incorporating best practice to minimize environmental damage during road construction and maintenance are developed and followed.</p>	<p>Monitoring reports; Field observations; management plan</p>
<p>Indicator 10.10.2</p> <p>The following norms are observed in implementation of forest management activities:</p> <ul style="list-style-type: none"> - the used techniques and technologies minimize the damage the roads, plants and soil; - in logging sites and terrains with slope more than 25° the wood extraction is done only by animal force or skylines. Use of tractor winches is acceptable if they are stationed in neighbouring terrains; - wood extraction is stopped when soils are saturated. 	<p>Verifiers & Guidance:</p> <p>Field observation; management plans, Interviews with manager</p>
<p>Indicator 10.10.3</p> <p>The following norms are observed in implementation of forest management activities:</p> <ul style="list-style-type: none"> - stream crossings are planned before operations begin and shown on relevant maps - the number of stream crossings is minimised - stream crossings are at right angles to the stream - valley bottom roads and tracks are kept as far back from the stream as possible - fuel stores shall be managed according to legal requirements. - due care is taken to avoid fuel and oil spillages and where significant spillages have occurred remediation measures have been taken. 	<p>Verifiers & Guidance:</p> <p>Monitoring plan; Field observations; Internal EIA reports. Corrective action register/reports, Mitigation reports</p>
<p>Criterion 10.11 <i>The Organization shall manage activities associated with harvesting and extraction of timber and non-timber forest products so that environmental values are conserved, merchantable waste is reduced, and damage to other products and services is avoided. (C5.3 and C6.5 P&C V4)</i></p>	
<p>Indicator 10.11.1</p> <p>Harvesting and extraction practices for timber and non-timber forest products are implemented in a manner that conserves environmental values as identified in Criterion 6.1.</p>	<p>Verifiers & Guidance:</p> <p>Harvesting plans; Field observations. Interviews with Stakeholders, Monitoring reports</p>
<p>Indicator 10.11.2</p> <p>Harvesting practices optimize the use of forest products and merchantable materials.</p>	<p>Verifiers & Guidance:</p> <p>Harvesting plans & Records; Field observations</p>
<p>Indicator 10.11.3</p> <p>Sufficient amounts of dead and decaying biomass and forest structure are retained to conserve environmental values.</p>	<p>Verifiers & Guidance:</p> <p>Field observations; Interviews with managers; management Plan NOTE: Applies in native forests and will be prescribed in the management plan</p>
<p>Indicator 10.11.4</p>	<p>Verifiers & Guidance:</p>

Harvesting practices avoid and minimize damage to standing residual trees, on the ground and other environmental value	Field observation; harvesting plans, Interviews with stakeholders
Criterion 10.12 <i>The Organization shall dispose of waste materials in an environmentally appropriate manner. (C6.7 P&C V4)</i>	
Indicator 10.12.1	Verifiers & Guidance:
Collection, clean up, transportation and disposal of all waste materials is done in an environmentally appropriate way that conserves environmental values as identified in Criterion 6.1.	Field observation; Interview with managers; Disposal procedures

ANNEXURES

Refer to *FSC-STD-TZA-01-2018 V-01* for the comprehensive listing of Annexures A – I

End of Standard